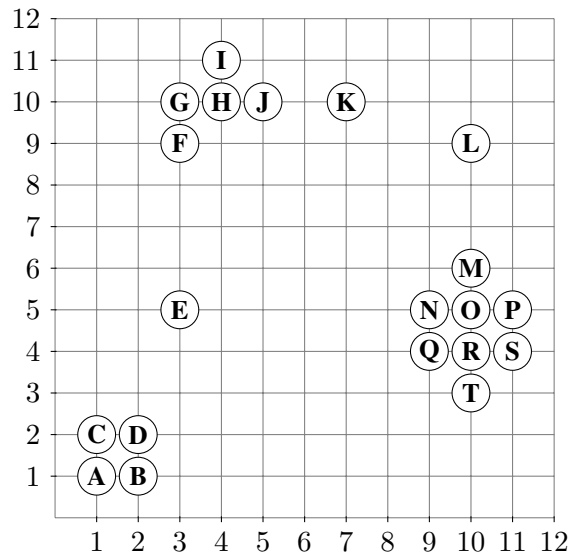


Knowledge Discovery and Data Mining I  
 WS 2019/20

Exercise 7: DBSCAN, Spectral Clustering

Exercise 7-1 DBSCAN

Given the following data set:



As distance function, use Manhattan Distance:

$$L_1(x, y) = |x_1 - y_1| + |x_2 - y_2|$$

Compute DBSCAN and indicate which points are core points, border points and noise points.

Use the following parameter settings:

- Radius  $\epsilon = 1.1$  and  $minPts = 2$
- Radius  $\epsilon = 1.1$  and  $minPts = 3$
- Radius  $\epsilon = 1.1$  and  $minPts = 4$
- Radius  $\epsilon = 2.1$  and  $minPts = 4$
- Radius  $\epsilon = 4.1$  and  $minPts = 5$
- Radius  $\epsilon = 4.1$  and  $minPts = 4$

### Exercise 7-2 Properties of DBSCAN

Discuss the following questions/propositions about DBSCAN:

- Using  $minPts = 2$ , what happens to the border points?
- The result of DBSCAN is deterministic w.r.t. the core and noise points but not w.r.t. the border points.
- A cluster found by DBSCAN cannot consist of less than  $minPts$  points.
- If the dataset consists of  $n$  objects, DBSCAN will evaluate exactly  $n$   $\epsilon$ -range queries.
- On uniformly distributed data, DBSCAN will usually either assign all points to a single cluster or classify every point as noise.  $k$ -means on the other hand will partition the data into approximately equally sized partitions.

### Exercise 7-3 Spectral Clustering

- (a) Given the dataset from Exercise 7-1, apply spectral clustering to the first ten points (i.e. A - J). When constructing the graph, make sure that each point is connected to its neighbours in an  $\epsilon = 2$  neighbourhood while still having at least two outgoing edges.
- (b) As shown in the lecture, spectral clustering uses the Laplacian matrix to determine its clusters. Given an arbitrary graph  $G$  and the Laplacian  $L$  for  $G$ , show that finding an indicator vector  $f_C$  that minimizes  $f_L f^T$  leads to an optimal cluster  $C$  in  $G$ , where

$$f_C^{(i)} = \begin{cases} 1 & \text{if } v_i \in C \\ 0 & \text{else} \end{cases}$$